

# Stephens Inc. – Stephens Insured Bank Sweep Program

## Multiple List Program

Effective – May 15, 2020

Position	List 1 - Retail	List 2 - Retail	List 3 - Retail	List 4 - Retail	List 5 - Retail
	AL,IA,KS,KY,LA,MS,MO,NE,OK,TN	IL,IN,MI,OH,WI	DE,MD,NJ,PA,DC	AK,CO,ID,MN,MT,ND,OR,SD,WA,WY	CT,ME,MA,NH,NY,RI,VT
1	American Express National Bank	BNY Mellon, N.A.	Citibank, N.A.	Wells Fargo Bank, NA	Wells Fargo Bank, NA
2	Capital One Bank (USA), N.A.	Goldman Sachs Bank USA	American Express National Bank	Bank of Baroda	Capital One Bank (USA), N.A.
3	Wells Fargo Bank, NA	WEX Bank	BNY Mellon, N.A.	Citibank, N.A.	American Express National Bank
4	Bank of Baroda	Capital One Bank (USA), N.A.	EagleBank	First Horizon Bank	Citibank, N.A.
5	WEX Bank	Century Bank and Trust	Bank of India	Capital One Bank (USA), N.A.	Bank of India
6	EagleBank	EagleBank	First Horizon Bank	American Express National Bank	Bank of Baroda
7	Bank of China	Bank of India	Pinnacle Bank	WEX Bank	BNY Mellon, N.A.
8	Bank of India	The Bank of East Asia Ltd.	Morgan Stanley Private Bank, NA	Morgan Stanley Bank, National Associati	Century Bank and Trust
9	First Horizon Bank	Citibank, N.A.	Bank of Baroda	Bank of India	First Horizon Bank
10	Century Bank and Trust	American Express National Bank	Century Bank and Trust	Truist Bank	Morgan Stanley Bank, National Associati
11	New York Community Bank	Truist Bank	Goldman Sachs Bank USA	Cadence Bank, N.A.	Morgan Stanley Private Bank, NA
12	The Huntington National Bank	Morgan Stanley Private Bank, NA	Truist Bank	Simmons Bank	EagleBank
13	Simmons Bank	Enterprise Bank & Trust	Bryn Maw r Trust Co.	Morgan Stanley Private Bank, NA	Enterprise Bank & Trust
14	PNC Bank	Bank of Baroda	Capital One Bank (USA), N.A.	Bryn Maw r Trust Co.	Bryn Maw r Trust Co.
15	Union Bank and Trust	Cadence Bank, N.A.	Simmons Bank	Union Bank and Trust	Goldman Sachs Bank USA
16	Goldman Sachs Bank USA	Simmons Bank	Cadence Bank, N.A.	Bank of New Hampshire	Cadence Bank, N.A.
17	Citibank, N.A.	Bank of New Hampshire	Union Bank and Trust	EagleBank	Simmons Bank
18	Truist Bank	Union Bank and Trust	Bank of New Hampshire	Tow ne Bank	Truist Bank
19	Morgan Stanley Private Bank, NA	Tow ne Bank	Enterprise Bank & Trust	BNY Mellon, N.A.	Bank of New Hampshire
20	Bryn Maw r Trust Co.	BBVA USA	Tow ne Bank	PNC Bank	Union Bank and Trust
21	Enterprise Bank & Trust	PNC Bank	The Bank of East Asia Ltd.	Bank of China	WEX Bank
22	Cadence Bank, N.A.	Wells Fargo Bank, NA	Bank of China	BBVA USA	Tow ne Bank
23	Bank of New Hampshire	Morgan Stanley Bank, National Associati	Wells Fargo Bank, NA	The Bank of East Asia Ltd.	The Bank of East Asia Ltd.
24	Tow ne Bank	First County Bank	Morgan Stanley Bank, National Associati	First County Bank	Bank of China
25	The Bank of East Asia Ltd.	Bank of China	First County Bank	Goldman Sachs Bank USA	BBVA USA
26	BBVA USA	Bryn Maw r Trust Co.	BBVA USA	Enterprise Bank & Trust	PNC Bank
27	Morgan Stanley Bank, National Associati	New York Community Bank	PNC Bank	New York Community Bank	First County Bank
28	First County Bank	The Huntington National Bank	The Huntington National Bank	The Huntington National Bank	New York Community Bank
29	BNY Mellon, N.A.	First Horizon Bank	New York Community Bank	Century Bank and Trust	The Huntington National Bank
30	Pinnacle Bank	Pinnacle Bank	WEX Bank	Pinnacle Bank	Pinnacle Bank

Position	Excess Bank List	Excess Bank List	Excess Bank List	Excess Bank List	Excess Bank List
1	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.
2	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.
3	Wells Fargo Bank, NA	Wells Fargo Bank, NA	Wells Fargo Bank, NA	Wells Fargo Bank, NA	Wells Fargo Bank, NA

Position	List 6 - Retail	List 7 - Retail	List 8 - Retail	List 9 - Retail	List 10 - Business
	FL,GA,NC,SC,VA,WV	AZ,NV,NM,TX,UT	CA,HI, Other Territories	AR	All States
1	American Express National Bank	American Express National Bank	American Express National Bank	American Express National Bank	Citibank, N.A.
2	The Huntington National Bank	Capital One Bank (USA), N.A.	BNY Mellon, N.A.	Capital One Bank (USA), N.A.	EagleBank
3	Capital One Bank (USA), N.A.	BNY Mellon, N.A.	Citibank, N.A.	Wells Fargo Bank, NA	Capital One Bank (USA), N.A.
4	BNY Mellon, N.A.	Bank of Baroda	WEX Bank	BNY Mellon, N.A.	Bank of India
5	Bank of Baroda	Bank of India	Bank of India	Citibank, N.A.	Wells Fargo Bank, NA
6	First Horizon Bank	Century Bank and Trust	Bank of Baroda	Bank of Baroda	BNY Mellon, N.A.
7	Century Bank and Trust	WEX Bank	EagleBank	Century Bank and Trust	The Bank of East Asia Ltd.
8	Pinnacle Bank	Citibank, N.A.	The Bank of East Asia Ltd.	First Horizon Bank	Bank of Baroda
9	Bank of India	First Horizon Bank	PNC Bank	EagleBank	New York Community Bank
10	EagleBank	The Huntington National Bank	Goldman Sachs Bank USA	Bank of India	WEX Bank
11	Citibank, N.A.	EagleBank	Truist Bank	The Bank of East Asia Ltd.	Pinnacle Bank
12	Truist Bank	PNC Bank	Morgan Stanley Private Bank, NA	Morgan Stanley Bank, National Associati	Cadence Bank, N.A.
13	Morgan Stanley Bank, National Associati	Morgan Stanley Private Bank, NA	Bryn Maw r Trust Co.	Truist Bank	Truist Bank
14	Enterprise Bank & Trust	Enterprise Bank & Trust	Enterprise Bank & Trust	Pinnacle Bank	The Huntington National Bank
15	Bryn Maw r Trust Co.	Cadence Bank, N.A.	Wells Fargo Bank, NA	Enterprise Bank & Trust	BBVA USA
16	Morgan Stanley Private Bank, NA	Simmons Bank	Cadence Bank, N.A.	Goldman Sachs Bank USA	Bank of China
17	Cadence Bank, N.A.	Union Bank and Trust	Capital One Bank (USA), N.A.	Morgan Stanley Private Bank, NA	Enterprise Bank & Trust
18	Simmons Bank	Bank of New Hampshire	Simmons Bank	Simmons Bank	Bryn Maw r Trust Co.
19	Bank of New Hampshire	Truist Bank	Union Bank and Trust	Bank of New Hampshire	Union Bank and Trust
20	Bank of China	Bryn Maw r Trust Co.	Bank of New Hampshire	Union Bank and Trust	Bank of New Hampshire
21	BBVA USA	Tow ne Bank	Tow ne Bank	Bank of China	Tow ne Bank
22	Union Bank and Trust	The Bank of East Asia Ltd.	Bank of China	PNC Bank	PNC Bank
23	The Bank of East Asia Ltd.	Wells Fargo Bank, NA	BBVA USA	Tow ne Bank	First County Bank
24	Tow ne Bank	Goldman Sachs Bank USA	Morgan Stanley Bank, National Associati	BBVA USA	Simmons Bank
25	First County Bank	Bank of China	First County Bank	Bryn Maw r Trust Co.	First Horizon Bank
26	Wells Fargo Bank, NA	BBVA USA	The Huntington National Bank	Cadence Bank, N.A.	Century Bank and Trust
27	PNC Bank	Morgan Stanley Bank, National Associati	New York Community Bank	First County Bank	
28	Goldman Sachs Bank USA	First County Bank	First Horizon Bank	New York Community Bank	
29	New York Community Bank	New York Community Bank	Century Bank and Trust	The Huntington National Bank	
30	WEX Bank	Pinnacle Bank	Pinnacle Bank	WEX Bank	

Position	Excess Bank List	Excess Bank List	Excess Bank List	Excess Bank List	Excess Bank List
1	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.
2	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.
3	Wells Fargo Bank, NA	Wells Fargo Bank, NA	Wells Fargo Bank, NA	Wells Fargo Bank, NA	Wells Fargo Bank, NA

Note: A client's state of residence determines their priority list. States are provided below the List #–Retail. Businesses use List 10.