

Stephens Inc. – Stephens Insured Bank Sweep Program

Multiple List Program

Effective – February 18, 2020

Position	List 1 - Retail	List 2 - Retail	List 3 - Retail	List 4 - Retail	List 5 - Retail
	AL,IA,KS,KY,LA,MS,MO,NE,OK,TN	IL,IN,MI,OH,WI,	DE,MD,NJ,PA,DC	AK,CO,ID,MN,MT,ND,OR,SD,WA,WY	CT,ME,MA,NH,NY,RI,VT
1	American Express National Bank	BNY Mellon, N.A.	Citibank, N.A.	Wells Fargo Bank, NA	Wells Fargo Bank, NA
2	Wells Fargo Bank, NA	Goldman Sachs Bank USA	American Express National Bank	Bank of Baroda	American Express National Bank
3	Capital One Bank (USA), N.A.	WEX Bank	BNY Mellon, N.A.	Citibank, N.A.	Capital One Bank (USA), N.A.
4	WEX Bank	Capital One Bank (USA), N.A.	EagleBank	American Express National Bank	Citibank, N.A.
5	EagleBank	EagleBank	Bank of India	WEX Bank	Bank of India
6	Synovus Bank	Synovus Bank	WEX Bank	Morgan Stanley Bank, National Associati	Bank of Baroda
7	Bank of India	Bank of India	Morgan Stanley Private Bank, NA	Bank of India	BNY Mellon, N.A.
8	Bank of Baroda	The Bank of East Asia Ltd.	Synovus Bank	Truist Bank	Morgan Stanley Bank, National Associati
9	New York Community Bank	Citibank, N.A.	Bank of Baroda	Cadence Bank, N.A.	Morgan Stanley Private Bank, NA
10	The Huntington National Bank	American Express National Bank	Goldman Sachs Bank USA	Simmons Bank	EagleBank
11	Simmons Bank	Truist Bank	Truist Bank	Capital One Bank (USA), N.A.	Synovus Bank
12	FNC Bank	Morgan Stanley Private Bank, NA	Bryn Maw r Trust Co.	Morgan Stanley Private Bank, NA	Enterprise Bank & Trust
13	Union Bank and Trust	Enterprise Bank & Trust	Capital One Bank (USA), N.A.	Bryn Maw r Trust Co.	Bryn Maw r Trust Co.
14	Goldman Sachs Bank USA	Bank of Baroda	Simmons Bank	Synovus Bank	Goldman Sachs Bank USA
15	Citibank, N.A.	Cadence Bank, N.A.	Cadence Bank, N.A.	Union Bank and Trust	Cadence Bank, N.A.
16	Truist Bank	Simmons Bank	Union Bank and Trust	Bank of New Hampshire	Simmons Bank
17	Morgan Stanley Private Bank, NA	Bank of New Hampshire	Bank of New Hampshire	EagleBank	Truist Bank
18	Bryn Maw r Trust Co.	Union Bank and Trust	Enterprise Bank & Trust	Tow ne Bank	Bank of New Hampshire
19	Enterprise Bank & Trust	Tow ne Bank	Tow ne Bank	BNY Mellon, N.A.	Union Bank and Trust
20	Cadence Bank, N.A.	BBVA USA	The Bank of East Asia Ltd.	PNC Bank	WEX Bank
21	Bank of New Hampshire	PNC Bank	Bank of China	Bank of China	Tow ne Bank
22	Tow ne Bank	Wells Fargo Bank, NA	Wells Fargo Bank, NA	BBVA USA	The Bank of East Asia Ltd.
23	The Bank of East Asia Ltd.	Morgan Stanley Bank, National Associati	Morgan Stanley Bank, National Associati	The Bank of East Asia Ltd.	Bank of China
24	BBVA USA	First County Bank	First County Bank	First County Bank	BBVA USA
25	Morgan Stanley Bank, National Associati	Bank of China	BBVA USA	Goldman Sachs Bank USA	PNC Bank
26	First County Bank	Bryn Maw r Trust Co.	PNC Bank	Enterprise Bank & Trust	First County Bank
27	Bank of China	New York Community Bank	The Huntington National Bank	New York Community Bank	New York Community Bank
28	BNY Mellon, N.A.	The Huntington National Bank	New York Community Bank	The Huntington National Bank	The Huntington National Bank

Position	Excess Bank List	Excess Bank List	Excess Bank List	Excess Bank List	Excess Bank List
1	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.
2	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.
3	Wells Fargo Bank, NA	Wells Fargo Bank, NA	Wells Fargo Bank, NA	Wells Fargo Bank, NA	Wells Fargo Bank, NA

Position	List 6 - Retail	List 7 - Retail	List 8 - Retail	List 9 - Retail	List 10 - Business
	FL,GA,NC,SC,VA,WV	AZ,NV,NM,TX,UT	CA,HI, Other Territories	AR	All States
1	Citibank, N.A.	American Express National Bank	American Express National Bank	American Express National Bank	Citibank, N.A.
2	The Huntington National Bank	Capital One Bank (USA), N.A.	BNY Mellon, N.A.	Wells Fargo Bank, NA	EagleBank
3	Capital One Bank (USA), N.A.	BNY Mellon, N.A.	Citibank, N.A.	BNY Mellon, N.A.	Capital One Bank (USA), N.A.
4	BNY Mellon, N.A.	Bank of India	WEX Bank	Citibank, N.A.	Bank of India
5	WEX Bank	Bank of Baroda	Bank of India	Capital One Bank (USA), N.A.	Wells Fargo Bank, NA
6	Synovus Bank	WEX Bank	Bank of Baroda	Bank of Baroda	BNY Mellon, N.A.
7	Bank of India	Citibank, N.A.	EagleBank	EagleBank	The Bank of East Asia Ltd.
8	EagleBank	The Huntington National Bank	The Bank of East Asia Ltd.	Bank of India	Bank of Baroda
9	Bank of Baroda	EagleBank	PNC Bank	Synovus Bank	New York Community Bank
10	American Express National Bank	Synovus Bank	Goldman Sachs Bank USA	The Bank of East Asia Ltd.	WEX Bank
11	Truist Bank	PNC Bank	Truist Bank	Morgan Stanley Bank, National Associati	Synovus Bank
12	Morgan Stanley Bank, National Associati	Morgan Stanley Private Bank, NA	Morgan Stanley Private Bank, NA	Truist Bank	Cadence Bank, N.A.
13	Enterprise Bank & Trust	Enterprise Bank & Trust	Bryn Maw r Trust Co.	WEX Bank	Truist Bank
14	Bryn Maw r Trust Co.	Cadence Bank, N.A.	Enterprise Bank & Trust	Enterprise Bank & Trust	The Huntington National Bank
15	Morgan Stanley Private Bank, NA	Simmons Bank	Synovus Bank	Goldman Sachs Bank USA	BBVA USA
16	Cadence Bank, N.A.	Union Bank and Trust	Wells Fargo Bank, NA	Morgan Stanley Private Bank, NA	Bank of China
17	Simmons Bank	Bank of New Hampshire	Cadence Bank, N.A.	Simmons Bank	Enterprise Bank & Trust
18	Bank of New Hampshire	Truist Bank	Capital One Bank (USA), N.A.	Bank of New Hampshire	Bryn Maw r Trust Co.
19	Bank of China	Bryn Maw r Trust Co.	Simmons Bank	Union Bank and Trust	Union Bank and Trust
20	BBVA USA	Tow ne Bank	Union Bank and Trust	Bank of China	Bank of New Hampshire
21	Union Bank and Trust	The Bank of East Asia Ltd.	Bank of New Hampshire	PNC Bank	Tow ne Bank
22	The Bank of East Asia Ltd.	Wells Fargo Bank, NA	Tow ne Bank	Tow ne Bank	PNC Bank
23	Tow ne Bank	Goldman Sachs Bank USA	Bank of China	BBVA USA	First County Bank
24	First County Bank	Bank of China	BBVA USA	Bryn Maw r Trust Co.	Simmons Bank
25	Wells Fargo Bank, NA	BBVA USA	Morgan Stanley Bank, National Associati	Cadence Bank, N.A.	
26	PNC Bank	Morgan Stanley Bank, National Associati	First County Bank	First County Bank	
27	Goldman Sachs Bank USA	First County Bank	The Huntington National Bank	New York Community Bank	
28	New York Community Bank	New York Community Bank	New York Community Bank	The Huntington National Bank	

Position	Excess Bank List	Excess Bank List	Excess Bank List	Excess Bank List	Excess Bank List
1	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.
2	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.
3	Wells Fargo Bank, NA	Wells Fargo Bank, NA	Wells Fargo Bank, NA	Wells Fargo Bank, NA	Wells Fargo Bank, NA

Note: A client's state of residence determines their priority list. States are provided below the List #–Retail. Businesses use List 10.