

# Stephens

## Stephens Insured Bank Sweep Program

### Current Applicable Interest Rates by Household Tier Level

|        | <b>Client Household Balance Account Value</b> | <b>Rate as of<br/>8/31/2020</b> |
|--------|---|---------------------------------|
| Tier 1 | Less than \$99,999                            | 0.00%                           |
| Tier 2 | \$100,000 – \$249,999                         | 0.00%                           |
| Tier 3 | \$250,000 – \$499,999                         | 0.00%                           |
| Tier 4 | \$500,000 – \$999,999                         | 0.00%                           |
| Tier 5 | \$1,000,000 – \$1,999,999                     | 0.01%                           |
| Tier 6 | \$2,000,000 – \$4,999,999                     | 0.01%                           |
| Tier 7 | \$5,000,000 – \$9,999,999                     | 0.01%                           |
| Tier 8 | \$10,000,000 – \$19,999,999                   | 0.01%                           |
| Tier 9 | \$20,000,000 or greater                       | 0.01%                           |

The Stephens Insured Bank Sweep Program Interest Rates and Household Tier Levels shown above are current and became effective on the date indicated above. Interest rates and Household Interest Rate Tier levels will vary from time to time and may be higher or lower than rates and levels currently in effect. As of August 31, 2020, Stephens' average fee in the Program was 0.13%.